		2015 Status					January 2016 Status						January 2016 Status																							
	Owner	Capacity	Compliance	October	November	December	Capacity	Compliance	Q1	Q2	Q3	Q4	Capacity	Compliance	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Capacity	Compliance	Q1	Q2	Q3	Q4	Full capacity and compliance
PRINCIPLE 1: LEGAL EXISTENCE/ RECOGNITION AND ELIGIBILITY TO OPERATE IN FORESTRY SECTOR *The forest contract or permit holder is a legally recognized business, commonly or an individual eligible to operate in the forestry sector*	Philip Ioekolo and TM Commercial				Collection of missing documentation (if any) and upload to Libertrace		CFMAs operating legally in Region 3, FPCC debarment list updated		All verified documents available to date for all active FMC and CFMA contracts, indicated	Verification/ authentification of documentation in FDA/Office/ Field across all operations			Letter from FDA MD to JC seeking resolution for verified list of documents that have not been scanned				Steps agreed in August Technical JC monitored in relation to missing documentation, under	Resolution from JC on missing documentation based on letter from FDA MD							All forest operators (contractors and permit holders) held necessary documentation, registered and stored by the FDA and found to legally operate in forest sector.						OUTCOME All forest operators (contractors and permit holders) held necessary documentation, registered and stored by the FDA and found to legally operate in forest sector.
PRINCIPLE 2: FOREST ALLOCATION *The Forest Use Rights contract is the contract now awarded pursuant to the National Forestry Reform Law and the Community Rights Law*	Philip Ioekolo and TM Commercial				FDA completed documentation required in legality matrix for approved Community Forestry	>FDA completed maps of concession areas (FMCs) for all Regions	Clearly mapped boundaries of affected communities and overlap FMCs			Completion of verified list of documents not found for Principles 1 and 2	Recommendations collated for amendments to legality matrix based on results of	Letter from FDA MD to JC seeking resolution for verified list of documents that have not been scanned	> Documentation for verifiers completed as required in legality matrix for all FMCs					Recommendations collated for amendments to legality matrix based on results of	Resolution from JC on missing documentation based on letter from FDA MD	Legal review of all concession to verify compliance with Principle 2: tie in with documentation							Forest Use Rights covered by the contract are awarded pursuant to the National						OUTCOME Forest Use Rights covered by the contract are awarded pursuant to the National Forestry Reform Law and the Community Rights Law
PRINCIPLE 3: SOCIAL OBLIGATIONS AND BENEFIT SHARING *Contract holder is in compliance with the social obligations and benefit sharing requirements established by law*	Dennis Koko						CFDCs and communities implementation in consultation process						NGO Facebook page publishing stories and pictures of ongoing projects Report on financial														Bank accounts opened for CFMAs, CFDCs receiving payment from GoL from land rental						OUTCOME All contract holders are in compliance with the social obligations and benefit sharing requirements
Indicator 3.1: The contract holder has negotiated a social agreement with authorized representatives of affected communities within 3.0 miles around the proposed concession or permit area following advance notice to these affected communities						DG, CFFD supported to establish mechanism for complaints.							Social Agreements signed by all CFDCs and operators							Clarify for the JC the mechanism to systematically track the use of the funds disbursed by the MoFT														
Indicator 3.2 A mutually negotiated social agreement has been signed between the contract holder and ALL affected communities through a process of consultation and consent. The agreement becomes effective prior to the start of felling operations							GI Capacity Building of NUCFDC in negotiating and implementing social agreements																											
Indicator 3.3: The terms of the social agreement between the contract/permit holder and the affected communities include a code of conduct governing relations between the agreement parties, including a grievance procedure, the amount of financial contribute payable to the community by the contract holder and (b) a statement that the contract/permit holder sees the amount				NUCFDC Secretariat to provide bank account information	Standardized guidance on minimum rules: notice for to affected communities.	CFTA supported to provide dispute resolution mechanism handbook						Continued assistance to the NUCFDC Secretariat in administration and equipment																						
Indicator 3.4: The social agreement between the contract holder and the community or communities has been attested to by the FDA											FGD completed attesting of social agreement with affected communities in CFDC in all Regions																							
Indicator 3.5 The stipulated fees owed to the communities by the contract holder under the social agreement are paid by the contract holder (i) within the prescribed time periods and (ii) into an escrow account opened by the contract holder for this purpose						FDA to facilitate the payment to the NBET. NUCFDCs assisted to verify bank statements						FDA to facilitate the payment to the NBET. NBET assisted by NUCFDCs to verify bank statements	FDA to facilitate the payment to the NBET				FDA invoice Gol, increasing payments to affected community CFDCs for accrued benefits to December 2017			FDA to facilitate the payment to the NBET	FDA to facilitate the payment to the NBET	FDA to facilitate the payment to the NBET										
PRINCIPLE 4: FOREST MANAGEMENT OPERATIONS AND HARVESTING *Forest management operations and harvesting complies with all applicable laws*	Philip Ioekolo and TM Commercial			Region 3 capacity building plan completed.			ADP approval process reviewed. ADP approved and ready for implementation in				ADPs submitted for approval. ADPs reviewed with the assistance of the UFAGU	Field equipment purchased and allocated to 2 district offices in Region 3	Blocks related to ADP validated in Harbel by FDA with support from VPA GU and GGS			Timetable for the implementation of stumpage fees payment to be developed between				Compliance procedures for collection of verifiers tested and refined				Full capacity established with FDA, MoL, and EPA in the EFMP. Support FDA to raise awareness							ADP and Forest Management Plans utilized by FDA across all four regions for 2019-20						OUTCOME All forest management operations and harvesting comply with the existing legal framework to comply with FLEGT Licensing requirements (Forestry Reform Law,
Indicator 4.1: The contract or permit holder has completed an annual operational plan and where applicable, a forest management plan					GI Capacity Building to FDA and GNS in block maps. Guest FDA in developing checklists for approving ADP (GPS, GIS and FDA)	GI Capacity Building to FDA and GNS in reviewing Block areas and ADP.	FDA GNS GIS verifying Block and ADP maps for certain regions in commercial timber				ADP checklist tested during approvals for 2017/2018 harvesting period	Region 3: Provide guidance and on the job training through planning and also approval processes for ADP	FDA GIS GNS able to produce maps for all Regions in support of the capacity development plan of FDA staff				In collaboration with private sector, ADP procedures are in place in Region 3	Unit inspections of active operations, ADP & FMP prepared, using metrics in the Dashboard		FDA staff capacity on ADP improved, using metrics in the Dashboard	Review ADP process with operators and FDA to capture any lessons to further embedding ADP into these organizations. In collaboration with	Further training to FDA on approval process of ADP including the use of procedures checklists and ADP approval including field verification checklists, and							
Indicator 4.2 The contract or permit holder complies with the terms of its annual operational plan and requirements of law regarding the species and quantities it is permitted to harvest.				Public review of Code of Forest Harvesting Practices (CFHP)	Region 3 review of audit reports/templates. GI assist FDA in reviewing block field verifier approval process	FDA Board approval of CFHP	GI capacity building in Region 3 to apply CFHP		Joint field inspections (FDA, MoL, EPA) to verify compliance with the CFHP in field operations.		Joint field inspections (FDA, MoL, EPA) to verify compliance with the CFHP in field operations.									CFHP reporting templates tested and refined.								GI capacity building in Region 1 & 4 to apply CFHP						
PRINCIPLE 5: ENVIRONMENTAL OBLIGATIONS *Contract holder and Timber Processor have met all environmental obligations imposed by law.*	Konikay Nimley				Training provided on 'templating' checklist for approvals		Templates for environmental impact reports tested					Adoption of CFHP checklist as work instruction for EPA inspections of commercial forestry	EPA checklist is consistent with FDA to meet Libertrace requirements		EPA provides official recognition of the checklist as SOP for forestry related inspections			FDA & EPA further trained in monitoring Code of Forest Harvesting Practices.	GU assist EPA and FDA in validating EIA using GIA/EPA-FDA-MOL Inspection Checklist			EPA and FDA assisted in completing environmental reports for Region 3				EPA inspection reports uploaded to Libertrace EPA checklist is consistent with						OUTCOME Contract holders (forest operators) and Timber Processors meet all environmental obligations required by the Environmental Protection and Management Law.
PRINCIPLE 6: TIMBER TRANSPORTATION AND PROCESSING *The Contract or permit holder or timber processor meets all of its obligations imposed by law for the transportation and traceability of timber and its timber products*	Nuwolo Bamoru and TM Commercial			Introduction of the use of LiberTrace on related training Control of CoC requirement for LVD	Introduction of the use of LiberTrace on related training Control of CoC requirement for LVD		Staff transfer to LVD from departments in FDA						Capacity needs of LVD/ FDA in relation to CoC/ LAS are met																						OUTCOME All Contract or permit holder (forest operator) and timber processors meet all obligations required by law for the transportation and traceability of timber
PRINCIPLE 7: TRANSFORMATION AND TIMBER PROCESSING *Timber processors have complied with the relevant Liberian laws and regulations*	Edward S Kamara					SOPs for timber processing written	SOPs written, validated and approved						Timber processing regulation in place. Libertrace includes timber processing																						OUTCOME Timber processors have complied with the relevant Liberian laws and regulation. (National Forestry Reform Law and regulations, Environmental Protection
PRINCIPLE 8: WORKERS RIGHTS, HEALTH SAFETY AND WELFARE *The contract/ permit holder or timber processor meets its obligations under the Labour Law and any collective bargaining agreement of the timber industry*	Konikay Nimley, TM Commercial					GOA work safety & health standard guideline - checklist field tested in Region 3 based on updated	Work safety guidelines for Region 3 developed and tested		MOL & FDA staff trained in applying the ESAA guidelines/ checklist related to work safety and	MOL inspection reports draft developed and utilized in inspection visits			Reporting mechanism developed for MoL inspection visits			MOL staff trained in applying the CFHP checklist related to work safety and health standards		MOL staff trained in applying the CFHP checklist related to work safety and health standards		MOL inspection reports utilized in field visits												OUTCOME The contract/ permit holders (Forest Operator) and timber processors meet all obligations under the Labour Law, National Social Security Law, any collective bargaining
PRINCIPLE 9: TAXES, FEES AND OTHER PAYMENTS *The contract holder or timber processor is current in its compliance with all fiscal obligations including payment of taxes and fees*	Simulu Kamara and Abraham Sherif					Smartphone needs assessment completed by LBA in coordination with MoL/LVD and assisted	Needs assessment of LBA for Libertrace interface and capacity building						LBA to independently verify FDA pricing interface between Libertrace and LBA																						OUTCOME The contract holder (Forest Operator) and timber processor comply with all fiscal obligations including payment of taxes and fees as per National Forestry Reform Law.
PRINCIPLE 10: EXPORT, PROCESSING AND TRADE REQUIREMENTS *All contract and permit holders as well as timber processors have complied with export, processing and trade requirements*	Joseph Tally				Decision with FDA on staffing and resourcing of LLD		Requirements for LLD prepared to be submitted to MoF for approval and						LLD established and operating with support from VPA GU																						OUTCOME All contract (Forest Operator), permit holders and timber processors comply with export, processing and trade requirements as per the National Forestry Reform Law and
PRINCIPLE 11: TRANSPARENCY AND GENERAL DISCLOSURE	Richard Grear					FDA implementing FDI and completing documentation centre, and uploading pending VPA	FDA existing website reviewed and proposal for further improvements developed	Review of guidelines of FDI. And developed. Website improved					FAQ handling press releases and communications regarding VPA matters																						OUTCOME The contract or permit holder (forest operator) complying with the requirements of the National Forestry Reform Law and Regulations, Freedom of Information Act,
Community Forestry Management Body (CFMB) complying with LAS for commercial timber sale for exports and local markets	Gertrude Nyaley				Collection of any recovered missing documentation (if any)						Verification of all the CFMA contracts in the field, uploaded in Libertrace	Compliance procedures for the verifiers addresses CFMA allocation (FG)			Compliance procedures tested.		FDA/FMB guided in developing a strategic vision and first year operational plan in collaboration with LFDP,	Strengthen the capacity of NUCFMB to participate in the process of Community Forest Management Guidelines development,				NUCFMB and CFMB in Region 3 given training in legality matrix, chain of custody and how to participate in the VPA								Community Forestry Management Body (CFMB) using Liber Trace as community based extension for commercial timber sale for exports
													New CFMBs complying with LAS October commercial timber					NUCFMB and CFMB in Region 3 given training in legality matrix, chain of custody and how to participate in the VPA process			Roadmap for CFMB living extension LAS produced												
Regulations in place for compliance with VPA LAS to issue FLEGT licenses	Edward S Kamara				AFA FDA and MoJ workshop on legal capacity expertise	MoL Development of Draft Chainsaw SOP for inspection and verification, Study and discussion to include Chainsaw milling in CFDC system	SOP, Review and Finalization of Draft Chainsaw SOP for inspection and verification, study and discussion to include Chainsaw milling in CFDC system						> legality matrix approved by clients and submitted to GU for review and approval																						Community Forestry Management Body (CFMB) using Liber Trace as community based extension for commercial timber sale for exports
				> FAO inputs for retrialling of chainsaw regulation using market study inputs. > Regional validation CFA. > Harmonization and	> Draft chainsaw regulation	GFA: Re-> Presidential Approval of the regulations. > Draft regulation for chainsaw milling submitted to MoJ for review																												> Implementation of chainsaw enforcement in FDA Regions 3,	
																																		Independent Audit for FLEGT licensing. Review of chainsaw and internal markets.
Covering processes and capacity to comply with Legality Assurance System, VPA process and sustainable forestry practices to meet FLEGT Licensing requirements	Secretariat - Harrson Garbo				Joint plan for addressing non-compliances developed by MOA/LVD and GU	FDA approved Region 3 pilot for re-structuring departments responsible for enforcement (CFD, CFFD, FLED, R&D/EDU Unit)											Review FLED functions in Region 3, develop clear ToR for the roles and functions for Law Enforcement based on the Compliance and		Develop critical capacity development and training in line with the Liber Trace rollout in other Forest Regions, using Region 3 for				Provide support to further joint inspections with FDA, VPA and Ministry of Labor for pre-harvest, ongoing and post harvest operations			Carry out further review in Quarter 3 2018 of capacity and options in place in Region 3 and update dashboard accordingly.								Ghana fully compliant with FLEGT licensing requirements
																				Provide further training on FDA-KoA to implement the Compliance and Enforcement Handbook and associated Penalties and Fines Regulation																		
VPA Implementation Management Structures	Secretariat - Harrson Garbo			NMSMC meeting	NMSMC meeting. Facilitating workplans for staff at district level.	NMSMC meeting			NMSMC meeting		NMSMC meeting				NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting	NMSMC meeting								VPA implementation structures fully functional and set up
				Cross-departmental coordination meeting. NMSMC meeting. Review of staffing and job descriptions for FDA staff in Region 3		NMSMC meeting: Independent auditor contracted [illegible] FDA assisted to add the VPA Secretariat to the Budget approval process				NMSMC meeting	Fixes JC meeting	NMSMC meeting																						Support the FDA to update VPA Legality Matrix Tracking System (dashboard and forward planner) to track and [illegible]
Cross-cutting issues	Joseph Tally				LVD Train the trainers	Chain of Custody and LiberTrace training to MOJ and other stakeholders																												Capturing amendments to the legality matrix based on regulations and the amended CAFR
Stakeholder support	Secretariat - Harrson Garbo				NGO Coalition supported on Gender Mainstreaming plan (Strategic development). 5 NGO members, Resource Center mapping, Facilitation	NUCFDC and National Forestry Working Trust supported as per strategic development plan	VPA stakeholders assisted to effectively participate in the VPA process																												Support the NGO Coalition to implement the plan for the transfer of funds from GoL to the affected communities. Help set up financial [illegible]